

## MacPherson Kwok Chen & Heid LLP

1762 Technology Drive, Suite 226 San Jose, CA 95110 Tel. (408) 392-9250 Fax (408) 392-9262

2402 Michelson Drive, Suite 210 Irvine, CA 92612 Tel. (949) 752-7040 Fax (949) 752-7049

Email: mailbox@ macpherson-kwok.com

August 17, 2004

Mail Stop Amendment
Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re:

Applicant(s):

Akechi Yano

Assignee:

Hiroaki Yano

Title:

Roller With Salient Members

Serial No.:

10/782,305

Examiner:

Unknown

Filed: February 18, 2004

Docket No.:

M-15322 US

Group Art Unit: 3654

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate);
- (3) Information Disclosure Statement Under 37 C.F.R. § 1.56
- (4) Substitute for Form 1449/PTO Information Disclosure Statement by Applicant with copies of 4 Cited References

No additional fee is required.				
The fee has been calculated as shown below:				

#### Total fee for this Submittal

Fee Code 1806 (37 C.F.R. 1.17(p))
 Submission of an Information Disclosure Statement,
Please charge our Deposit Account No. 50-2257 in the amount of \$180

Also, charge any additional fees required and credit any overpayment to our Deposit  $\boxtimes$ Account No. 50-2257

Total:

\$ 0.00

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313, on August 17, 2004.

August 17, 2004

Tom Chen

Attorney for Applicants

Respectfully submitted,

Reg. No. 42,406



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Akechi Yano

Assignee:

Hiroaki Yano

Title:

Roller With Salient Members

Application No.:

10/782,305

Filing Date:

February 18, 2004

Examiner:

Unknown

Group Art Unit:

3654

Docket No.:

M-15322 US

Irvine, California August 17, 2004

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

### Enclosed with this statement are the following:

- Substitute for Form 1449/PTO. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98 (except where otherwise indicated for a specific citation).

Complete copies are not submitted of U.S. applications per 37 C.F.R. §1.98(a)(2)(iii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed per 37 C.F.R. §1.98(d). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevancy is provided pursuant to 37 C.F.R. §1.98(a)(3). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. 57 F.R. 2021 (1/17/92). If a written

LAW OFFICES OF MacPherson, Kwok, Chen & Held LLP 2001 Gateway Place Suite 195E San Jose, CA 95110 Telephone (408) 392-9520 Fax (408) 392-9262 English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement. 37 C.F.R. §1.98(c).

#### This statement should be considered because:

- This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - (1) It is being filed within 3 months of the application filing date;
    -- OR --
  - (2) It is being filed within 3 months of entry of a national stage;
  - (3) It is being filed before the mailing date of a first Office action on the merits,

-- OR --

(4) It is being filed before the mailing date of a first Office action after the filing of an RCE

whichever occurs last.

Fee Authorization. The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2257. A duplicate copy of this authorization is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on August 17, 2004.

Tom Chen

Date of Signature

Respectfully submitted,

Tom Chen

Attorney for Applicants

Reg. No. 42,406

LAW OFFICES OF MacPherson, Kwok, Chen & Held LLP 2001 Gateway Place Suite 195E San Jose, CA 95110 Telephone (408) 392-9520 Fax (408) 392-9262

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/782,305		
Filing Date	February 18, 2004		
First Named Inventor	Akechi Yano		
Art Unit	3654		
Examiner Name	Unknown		
Attorney Docket Number	M-15322US		

			U. S. PATEN	DOCUMENTS	
Examiner initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		<sup>US-</sup> 4,930,202	06-05-1990	Yano	
		<sup>us-</sup> 4,870,731	10-03-1989	Yano	
		us- 4,803,877	02-14-1989	Yano	
		<sup>US-</sup> 4,872,246	10-10-1989	Yano	
		US-			
		U\$-		The state of the s	
		US-			
		US-			
		US-			
	<u> </u>	US-			
		US-			
		US-			
		US-		<u> </u>	
	T	US-		-	

	FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-1111		Or Relevant Figures Appear	<u> </u>

Examiner	 Date	
Signature	Considered	
•		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.